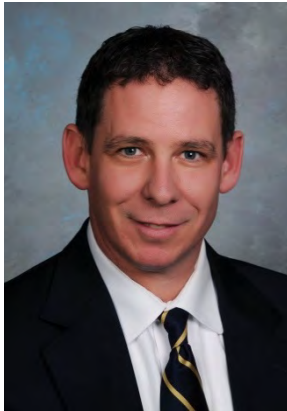


John Hanson, CPA, CFE, CCEP
Executive Director, Artifice Forensic Financial Services
Washington, DC
(202) 590-7702
jhanson@artificeforensic.com



John Hanson is the founder and Executive Director of Artifice Forensic Financial Services. He has over 26 years of fraud investigations, forensic accounting, corporate compliance and ethics, and audit experience. Though John has earned a reputation as a highly skilled complex corporate fraud investigator, he has become widely known for his work and thought leadership in the area of Independent Corporate Monitorships and is a passionate advocate for best practices in this developing and growing field of service. As a voting member of the American Bar Association/Criminal Justice Section's Standards Committee on Corporate Monitor Standards, his practical experience as an Independent Corporate Monitor, combined with his extensive knowledge about Monitors, contributed significantly to the ABA's currently adopted Standards on Corporate Monitors.

As a Monitor or in support of Monitors, John has reported to the Department of Justice, the Department of Interior, the Department of Transportation, the Department of Education, the Small Business Administration, the Federal Highway Administration and the Massachusetts Department of Transportation.

Before forming Artifice, John spent over five years as a leader in the fraud investigations and forensic accounting practice of a large publicly traded international financial consulting firm, where he focused on helping companies and other entities prevent, detect, respond to, and resolve issues associated with anti-corruption, fraud, and/or questions of corporate integrity. John was also a Special Agent with the FBI, where for nearly ten years he specialized in White Collar Crime and investigated a wide variety of complex fraud schemes and financial crimes. John also served as a Supervisory Special Agent and Instructor at the FBI Academy, where he developed and implemented fraud and investigative training curriculum for New Agent Trainees and conducted advanced fraud related in-service training for experienced FBI Agents. Prior to the FBI, he spent five years as the Director of Internal Audit and Quality Control for a large privately held mortgage origination and servicing company.

Mr. Hanson received a Bachelor of Science in Accounting from the University of New Orleans in Louisiana. In addition to being a licensed Certified Public Accountant (CPA), he is a Certified Fraud Examiner (CFE) and a Certified Compliance & Ethics Professional (CCEP).

Professional Affiliations/Participation

- American Institute of Certified Public Accountants
- Virginia State Society of CPAs
- Association of Certified Fraud Examiners
- Society of Corporate Compliance and Ethics
- American Bar Association (Associate Member)
- Co-Chair of the ABA Criminal Justice Section's Forensic and Investigative Practices Committee
- Special Adviser to the ABA Criminal Justice Section's Council
- Founder and President of the International Association of Independent Corporate Monitors (*not yet public*)

Representative Experience

■ Federal Corporate Monitorships:

- Presently serving a three-year term as the Independent Monitor of a privately held energy company. The scope of the Monitorship includes verifying compliance by the company with the terms of an Administrative Agreement with a federal agency whose requirements focus primarily on Corporate Compliance and Ethics issues.
- Presently engaged by the Monitor of an organization pursuant to an Administrative Agreement with the Department of Education. Scope of the Monitorship primarily concerns the organization's corporate compliance & ethics program and ethical tone.
- Served a four-year term as the Corporate Monitor of a large publicly traded Government contracting company. The scope of the Monitorship included verifying compliance by the organization with the terms of an Administrative Agreement, which required, among other things, remedial measures to its Corporate Compliance Program, Hotline, and Contract Accounting.
- Served a three-year term as the Corporate Monitor pursuant to an Administrative Agreement between a federal Agency and a large Alaskan Native Company (ANC). The scope of the Monitorship included verifying compliance by the ANC with the terms of an Administrative Agreement whose requirements focused primarily on Corporate Compliance and Ethics issues.
- Heavily supported the Monitor of a large publicly traded government contractor whose suspension from federal government contracting and resulting settlement agreement received significant media and industry attention. The scope of the Monitorship included, among other areas: the Corporate Compliance and Ethics Program; Government Contracting practices, procedures and controls and; accounting for allowable and unallowable costs and impact on overhead and pricing. Presently engaged by the Monitor of an organization pursuant to an Administrative Agreement with the Department of Education. Scope of the Monitorship primarily concerns the organization's corporate compliance & ethics program and ethical tone.
- Led the Monitorship team selected by a group of Federal and State law enforcement and regulatory agencies as the Independent Corporate Monitor of a company pursuant to a settlement/plea agreement. Assessed the Compliance Program and conducted an exhaustive fraud vulnerability and risk assessment that identified numerous financial and operational control deficiencies of concern to the Government agencies. Worked with the company to redesign controls and otherwise monitored their compliance with the terms of the agreement, while providing regular progress updates to the Government.
- Served a two-year term as the Independent Business Ethics Program Evaluator (a quasi-Monitor role) pursuant to an Administrative Agreement between the Small Business Administration and a privately held manufacturing company. The scope of the monitoring included evaluating, reporting on, and providing guidance to the organization regarding the establishment of an effective and comprehensive corporate compliance and ethics program.

■ Other Relevant Experience:

- Twice evaluated the design and effectiveness of corporate compliance programs of financial institutions on behalf of the Department of Justice's Asset Forfeiture and Money Laundering Section in their contemplation of corporate criminal prosecutions, each of which resulted in Deferred Prosecution Agreements.
- Engaged by external counsel in a corporate internal investigation to determine whether or not employees of a U.S. Company's foreign subsidiary had knowledge or should have known about actions by a Distributor for the company that may have been in violation of the FCPA. Among other things, assistance included the application of forensic accounting techniques to accounting records for signs of illicit payments and preparing interview questions designed not only to probe individual personal knowledge relevant to the substance of the allegations, but to also explore the company's corporate compliance program, ethical tone, training programs, accounting, and internal controls.
- As part of an internal FCPA Compliance Program, prepared and conducted FCPA Training for the sales, accounting, in-house counsel, corporate compliance and executive management personnel of a medical device company.

Select Speeches and Presentations

- “Independent Monitors in Suspension & Debarment” – September 19, 2014; ABA Public Contract Law Debarment and Suspension Committee; Washington, DC.
- “Views from the Bench on Compliance & Ethics Programs” – September 16, 2014; SCCE Compliance & Ethics Institute; Chicago, IL. Society of Corporate Compliance and Ethics
- “Compliance Monitoring: The Evolving Need and Growing Demand” – June 2, 2014; SCCE Higher Education Compliance Conference; Austin, TX. Co-Chair of the ABA Criminal Justice Section’s Forensic and Investigative Practices Committee
- “Investigations in Challenging Environments: Lessons from the Warzone” – March 13, 2014; American Bar Association Criminal Justice Section; Rockville, MD.
- “How Corporate Monitors Assess Compliance Programs”; SCCE 12th Annual Compliance & Ethics Institute; October 8, 2013; Washington, DC
- “The Role of Compliance and Ethics”; SEC/FINRA 2013 Compliance Outreach Program for Broker Dealers; April 9, 2013; Washington, DC.
- “Inside the Mind of an FBI Agent” – March 28, 2013; Energy Bar Association’s Energy Enforcers and Defenders Forum; Washington, DC.
- “Corporate Monitors: Roles and Trends in the Enforcement and Compliance Landscape” – February 13, 2013; Bloomberg BNA; Live Webinar (recorded and available for on-demand).
- “Alternate Resolution – Administrative Agreements: Key Elements of an Agreement and Enforcement Issues” – November 16, 2012; 2012 Suspension and Debarment Workshop (Government Only Event); Alexandria, VA.
- “Federal Government Suspension & Debarment” – October 16, 2012; 2012 Compliance & Ethics Institute - Society of Corporate Compliance and Ethics; Las Vegas, NV
- “Working with a Corporate Monitor – When Your Compliance Program Isn’t Enough” – October 1, 2012; Association of Corporate Counsel Annual Meeting 2012; Orlando, FL.
- “Up Front and Personal: Inside the Mind of a Corporate Monitor” – May 11, 2012; Ethics and Compliance Officer Association’s Sponsoring Partner Forum; Washington, DC.
- “Small Business Administration: Combating Fraud, Waste, and Abuse – GTSI and Related Issues” – October 14, 2011; OIG Suspension and Debarment Conference; Crystal City, VA.
- “Inside the Mind of a Corporate Monitor” – September 13, 2011; 2011 Compliance & Ethics Institute - Society of Corporate Compliance and Ethics; Las Vegas, NV.
- “Suspension and Debarment Matters: Trends in Settlement Agreements and Corporate Monitors” – June 21, 2011; American Bar Association Criminal Justice Section Presentation; Rockville, MD.
- “Monitors – Past, Present & Future” – April 14, 2011; American Bar Association’s Section of Litigation and Criminal Justice Section Annual CLE Conference; Miami, FL.
- “Corporate Monitors: Current Trends, Issues & Best Practices” – January 13, 2011; American Bar Association Criminal Justice Section Presentation; Washington, DC.
- “How To Work Effectively With a Corporate Monitor” – November 29, 2010; Global Corruption & Bribery Compliance for Life Sciences Conference (IQPC); London, UK.
- “International Compliance and Risk Mitigation” – October 27, 2010; Federal Publications Seminars; Arlington, VA.
- “Understanding and Working Effectively with Independent Corporate Monitors” – September 13, 2010; Society of Corporate Compliance and Ethics 9th Annual Compliance & Ethics Institute; Chicago, IL.
- “Risky Business – FCPA and Export Controls (AECA – ITAR) Enforcement Trends and Compliance Risks” – June 2009; Fairfax County Chamber of Commerce International Business Exchange Council; Reston, VA.
- “Monitoring Corporate Compliance” – July 2008, 5th Biennial National Fraud Awareness Conference on Transportation Infrastructure Programs; Chicago, IL.

Professional Training(s) Conducted

- “Advanced Forensic Accounting” – 2014/2015/2016 Contracts; Securities & Exchange Commission; San Francisco, Boston, Miami, Atlanta, Los Angeles, Ft. Worth and Chicago Divisions.
- “US Sentencing Guidelines and Compliance Programs” – Oct. 18, 2011; Board of Directors (confidential); Ogden, UT.
- “FCPA” – April 2009; FCPA Compliance Program Training for Executives, International Sales Persons and others at a medical device manufacturer; Leesburg, VA.
- As an Instructor at the FBI Academy:
 - US Sentencing Guidelines in Fraud (§8B2.1)
 - Financial Statement/Accounting Fraud
 - Embezzlement(s) and Other Internal Fraud
 - Kickbacks, Conflicts of Interest and Self-Dealing
 - FCPA & Anti-Corruption
 - ITAR, OFAC & Export Control Violations
 - Money Laundering
 - RICO
 - Mortgage Fraud
 - Wire & Mail Fraud
 - Insurance Fraud
 - Governmental Fraud/False Claims Act
 - Securities Fraud
 - Financial Institution Fraud
 - Health Care Fraud
 - Internet and Computer Frauds
 - Bankruptcy Fraud
 - Public Corruption
 - Credit Card Fraud and Identity Theft
 - Environmental Crimes
 - Financial Investigative Tools and Techniques
 - Ponzi, Investment & Pyramid Schemes