

Biography



John P. Carey
Senior Managing Director
Treliant, LLC

John Carey, Senior Managing Director with Treliant, is an accomplished banking executive and attorney with a broad mix of business, regulatory, legal, corporate governance, compliance, and management experience in major consumer financial services companies, at a national law firm, and in government service. He is particularly strong in addressing and solving regulatory challenges, including consent orders, deferred prosecution agreements, and other enforcement actions. He also has extensive experience in board governance, having served on numerous bank, community, and non-profit boards.

At Treliant, John is currently serving as an independent compliance auditor for a self-regulatory organization that is under a Securities and Exchange Commission enforcement agreement for securities regulations violations. Recently, John completed a successful compliance monitorship for a financial institution that was under a deferred prosecution agreement with the Department of Justice for aiding and abetting wire fraud and for willfully failing to implement an effective anti-money laundering program.

Prior to joining Treliant in late 2016, John had a 10-year career at Citigroup, where he was Head of Governance, Regulatory and External Affairs for Citi's global consumer bank and led the development of effective controls and the oversight of external, regulatory, and operational risks affecting the business. While in that role, he had direct oversight of numerous regulatory remediation projects relating to Citi's global consumer businesses. In other roles at Citi, he served as Chief Administrative Officer (CAO) of Citi North America Consumer Banking and as CAO of Citi Cards.

John also served as Chairman of the Board of Banamex USA, a state-chartered institution located in Los Angeles, CA. John took on the role to resolve the bank's consent orders relating to its failure to meet its BSA/AML and Office of Foreign Asset Control (OFAC) obligations. As Chairman, he led the corrective actions required by the bank's regulators.

John is a graduate of Georgetown College and Georgetown University Law Center and is admitted to practice in the District of Columbia and the State of New York. He is a member of the International Association of Independent Compliance Monitors.