



Application for membership as a Member in IAICM is open to anyone and it is not a requirement that a candidate or Member have prior or current experience serving as an Independent Corporate Monitor (“Monitor”). No qualified person shall be excluded from consideration for membership or denied membership on account of race, gender, religion, sexual orientation, political affiliation, disability, gender identity, color, veteran status, or national origin. Upon completion, please email your application to [Membership@IAICM.org](mailto:Membership@IAICM.org).

### **MEMBERSHIP APPLICATION**

1. Name of Applicant
2. Employer
3. Position/Title
4. Field(s) of Practice (i.e. lawyer, accountant, consultant, etc.)
5. Primary Practice Areas (i.e. compliance & ethics, FCPA, Anti-Trust, etc.)
6. Certifications/Credentials Currently Held (i.e., CPA, JD, etc.) and Year Attained:
7. Email Address
8. Phone Number
9. Mailing Address

Relevant Direct Monitorship Experience:

1. Have you ever previously served or are you currently serving as a Monitor? If so, without disclosing privileged or confidential information, please provide background information as to each such Monitorship role, including as much of the following information as is permissible:
  - a. The entity or court that appointed the Applicant
  - b. The name of the monitored entity (if permitted)
  - c. Length/term of the Monitorship
  - d. A brief description of the scope of the assignment

2. Have you ever previously served or are you currently serving in a leadership role (i.e., Project Manager, Team Leader, etc.) or supporting role (i.e., Forensic Accountant, Compliance Consultant, etc.) on a Monitorship Team? If so, without disclosing privileged or confidential information, please provide background information as to each such role, including as much of the following information as is permissible:
  - a. Name of appointed Monitor
  - b. The entity or court that appointed the Monitor
  - c. The name of the monitored entity (if permitted)
  - d. Length/term of Monitorship
  - e. A brief description of the scope of the Monitorship
  - f. A brief description of Applicant's role on the Monitorship Team

Relevant Indirect Monitorship Experience:

1. Without disclosing privileged or confidential information, list and summarize your experience selecting and/or working with a Monitor in accordance with the below areas:
  - a. Reporting Agency Official to whom a Monitor reported: For each instance summarize:
    - i. Your role
    - ii. How that experience is relevant to you serving as a Monitor
  
  - b. Internal or External/Outside Counsel for a Host Organization that was subject to a Monitorship. For each instance summarize:
    - i. Your role
    - ii. How that experience is relevant to you serving as a Monitor
  
  - c. Compliance Officer/Official for a Host Organization that was subject to a Monitorship. For each instance summarize:
    - i. Your role
    - ii. How that experience is relevant to you serving as a Monitor



Relevant General Experience:

1. For each area in the below table, identify the number of years of professional experience you have:

<b>Relevant General Professional Experience</b>			
Areas	Yrs	Areas	Yrs
Academia		Fraud Investigations	
Accounting		Internal Controls	
Auditing – External		International Business	
Auditing – Internal		Judicial	
Business Operations/Mgt.		Law Enforcement	
Consulting		Law Practice	
Corporate Compliance & Ethics		Professional Training	
Exec Management or Board		Quality Control	
Finance		Regulatory Compliance/Enforcement	
Forensic Accounting		White Collar Criminal Defense	

2. Identify any prior experience or expertise not already covered that you believe is relevant and/or particularly qualifies you to serve as a Monitor.

Moral Character:

Consistent with IAICM's Code of Professional Conduct, Members should have and maintain a reputation of high ethical and moral standards, integrity, independence/objectivity, professionalism, and excellence of character.

Please provide your responses to the following (if "yes" to any, please provide details):

1. Have you ever been convicted of a crime of moral turpitude?
  
2. Have you ever been suspended or debarred from Federal Government Contracting?
  
3. Have any of your certifications and/or professional licenses been suspended and/or revoked?
  
4. Have you ever been held in contempt of a Court for reasons associated with a lack of candor, integrity, and/or ethics?
  
5. Have you ever been disqualified as an expert witness for reasons associated with a lack of candor, integrity, and/or ethics?

Certification:

Applicants understand that the information provided in this application will be relied upon by IAICM in selecting applicants for Membership. By submitting this application to IAICM, Applicant certifies that the information provided in this application is true and correct to the best of applicant's belief. Applicant will update his or her information if material new information becomes available during the pendency of the application process. Applicant understands that the information provided in this application is being provided voluntarily and that IAICM has full and exclusive discretion in making Membership decisions.

Applicants for IAICM membership must sign a certification of their commitment to abide by and uphold IAICM's Code of Professional Conduct. Please attach your Certification to this application.